



Dep E2 Ref
307
PATENT
Docket No. 3977-4006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Joel Arberman Group Art Unit: 2171
Serial No. : 09/728,353 Examiner: To be assigned
Filed : December 1, 2000
For : SYSTEM AND METHOD FOR CONTENT RECOGNITION OVER THE INTERNET

#2

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EJ513415433US

Date of Deposit February 28, 2001

I hereby certify that the following attached paper(s) and/or fee:

1. Assertion of Small Entity Status and Request for a Fee Refund;
2. Copy of Filing Receipt;
3. Copy of Statement of Small Entity Status filed on December 1, 2000;
4. Copy of return receipt postcard acknowledging receipt of the Statement claiming Small Entity Status by the PTO;
5. Copy of executed Express Mail Certificate certifying the deposit of the Statement claiming Small Entity Status on December 1, 2001; and
6. Return Receipt Postcard

OFFICE OF FINANCE
REFUND DIVISION
2001 MAR -6 AM 11:43
US PATENT & TRADEMARK
OFFICE

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37

C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents, Box Patent Application,
Washington, D.C. 20231.

Francisco Garcia

(Typed or printed name of person
mailing paper(s) and/or fee)

Francisco Garcia
(Signature of person mailing
paper(s) and/or fee)

CORRESPONDENCE ADDRESS:
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile

Adjustment date: 03/19/2001 NVILLARI
12/06/2000 HLE333 00000073 134500 09728353
01 FC:101 710.00 CR
02 FC:102 800.00 CR
03 FC:103 540.00 CR

03/19/2001 NVILLARI 00000011 134500 09728353
01 FC:201 355.00 CH
02 FC:202 400.00 CH
03 FC:203 270.00 CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Joel Arberman

Serial No. : 09/728,353

Filed : December 1, 2000

For : SYSTEM AND METHOD FOR CONTENT RECOGNITION OVER
THE INTERNET



Group Art Unit: 2171

Commissioner for Patents
Washington, D.C. 20231

**ASSERTION OF SMALL ENTITY STATUS AND
REQUEST FOR A FEE REFUND**

COMMISSIONER OF PATENTS
Washington, D.C. 20231

OFFICE OF FINANCE
REFUND BRANCH
2001 MAR -6 AM 11:48
US PATENT & TRADEMARK
OFFICE

Sir:

Small entity status is entitled to be asserted for the above-identified patent.

We received the filing receipt for this application on February 7, 2001. Upon our examination of the filing receipt dated January 12, 2001, we noticed that the PTO records do not reflect the small entity status for this application, and the Applicant was incorrectly charged as a large entity.

We enclose a copy of the small entity statement filed with the PTO on December 1, 2000, together with our proof of mailing (i.e., return receipt postcard acknowledging receipt of the Statement claiming Small Entity Status by the PTO, and an executed Express Mail Certificate certifying the deposit of the Statement claiming Small Entity Status on December 1, 2001).

Accordingly, Applicant respectfully requests that the records be changed.

Applicant also respectfully requests that a refund of 50% of the original filing fee paid on this application -- i.e., a refund of \$1,025.00 -- be made in view of the establishment of its Small Entity Status. Applicant respectfully requests that such refund be in the form of a check

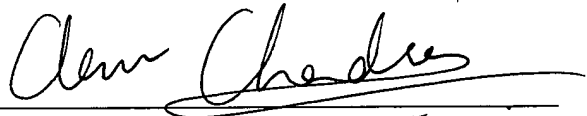
made payable to Applicant's attorneys, Morgan & Finnegan, LLP, and be forwarded to the mailing address below, or in the alternative, that such refund be credited to the account of Applicants' attorneys, that is, Deposit Account No. 13-4500, Order No. 3977-4006. A DUPLICATE COPY OF THIS PAPER IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: February 28, 2001

By:


Arun Chandra
Reg. No. 43,537

CORRESPONDENCE ADDRESS:
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Facsimile



RECEIVED
UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

2001 FEB -7 P 5:17

APPLICATION NUMBER	FILING DATE	GRP ART. UNIT.	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/728,353 ✓	12/01/2000 ✓	2171	2050	MORGAN & FINNEGAN LLP 3977-4006	5 ✓	50 ✓	13 ✓

CONFIRMATION NO. 4123

FILING RECEIPT



OC000000005675430

Walter G. Hanchuk
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154

Date Mailed: 01/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joel Arberman, Atlanta, GA; ✓

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/168,347 12/01/1999 ✓

Foreign Applications

Projected Publication Date: WPUB

Non-Publication Request: No

Early Publication Request: No

3977-4006
CASE 400613017 ATTY WGH
INFORMATION DISCLOSURE
STATEMENT _____
FOREIGN FILING _____
CONVENTION DATE EXPIRES _____

Title

System and method for content recognition over the internet ✓

Preliminary Class

707

Data entry by : WODAJE, ELENI

Team : OIPE

Date: 01/12/2001



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231